

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard O'Brien et al.

Title: SECURITY FRAMEWORK FOR SUPPORTING KERNEL-BASED HYPERVISORS
WITHIN A COMPUTING SYSTEM

Docket No.: 105.116US2

Filed: December 2, 2003

Examiner: Norman Wright

Serial No.: 10/726290

Due Date: N/A

Group Art Unit: Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



We are transmitting herewith the following attached items (as indicated with an "X"):

☒ A return postcard.

☒ An Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.). Documents NOT enclosed, cited in parent application.

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
Customer Number 21186

By: Thomas J. Brennan
Atty: Thomas F. Brennan
Reg. No. 35,075

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 9th day of March, 2004.

Peter Rebuffoni
Name

Peter Rebuffoni
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.



S/N 10/726290

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Richard O'Brien et al.	Examiner:	Norman Wright
Serial No.:	10/726290	Group Art Unit:	Unknown
Filed:	December 02, 2003	Docket:	105.116US2
Title:	SECURITY FRAMEWORK FOR SUPPORTING KERNEL-BASED HYPERVISORS WITHIN A COMPUTING SYSTEM		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Pursuant to 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/247,721, filed on February 9, 1999, which is relied upon for an earlier filing date under 35 U.S.C. §120.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/726290

Filing Date: December 02, 2003

Title: SECURITY FRAMEWORK FOR SUPPORTING KERNEL-BASED HYPERVISORS WITHIN A COMPUTING SYSTEM

Page 2

Dkt: 105.116US2

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

RICHARD O'BRIEN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6909

Date March 9, 2004

By Thomas F. Brennan
Thomas F. Brennan
Reg. No. 35,075

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 9th day of March, 2004.

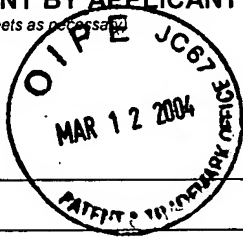
Peter Rebuffoni
Name

Peter Rebuffoni
Signature

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)



Complete if Known

Application Number	10/726290
Filing Date	December 2, 2003
First Named Inventor	O'Brien, Richard
Group Art Unit	Unknown
Examiner Name	Wright, Norman

Sheet 1 of 1

Attorney Docket No: 105.116US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2001/0037450	11/01/2001	Metlitski, E., et al.	713	152	03/01/2001
	US-5,457,798	10/10/1995	Alfredsson, Christer	395	700	10/25/1993
	US-5,504,814	04/02/1996	Miyahara, Gary K.	380	4	01/24/1994
	US-5,764,889	06/09/1998	Ault, Donald, et al.	395	186	09/26/1996
	US-6,047,323	04/01/2000	Krause, Michael R.	709	201	07/27/1996
	US-6,131,165	10/10/2000	Lipkin, Efrem, et al.	713	201	06/18/1998
	US-6,243,692	06/05/2001	Floyd, Michel, et al.	705	59	05/22/1998
	US-6,247,127	06/12/2001	Vandergeest, Ron	713	100	12/19/1997
	US-6,289,458	09/11/2001	Garg, Praerit, et al.	713	200	09/21/1998
	US-6,321,337	11/20/2001	Reshef, Eran, et al.	713	201	09/09/1998

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
--------------------	---------------------	------------------	---	-------	----------	----------------

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		GOLDBERG, I., et al., "A Secure Environment for Untrusted Helper Applications", Proceedings of the 6th USENIX Security Symposium, (July 1996)	
		LEECH, M., et al., RFC 1928: SOCKS Protocol Version 5, (March 1996)	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached